11017 U.S. PTO 09/839469 04/20/01

UTILITY PATENT APPLICATION TRANSMITTAL (only for continuation and divisional applications under 37 CFR 1.53(b))

Prior Application Info S Examiner: M. Garcia

Docket No.: P-IX 4692

Examiner: M. Garcia Group/Art Unit: 1627

Address to: COMMISSIONER FOR PATENTS Box Patent Application Washington, D.C. 20231

23601

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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE, AND IS ADDRESSED TO: COMMISSIONER FOR PATENTS, BOX PATENT APPLICATION, WASHINGTON, D.C. 20231.

Brian Ho
(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)
SIGNATURE OF PERSON MAILING PAPER OR FEE)

This is a request for filing a $\underline{\hspace{1cm}}$ continuation $\underline{\hspace{1cm}}$ divisional

application under 37 CFR 1.53(b), of pending prior application serial no. 09/169,048, filed October 8, 1998 (list only immediate prior application).

Title: METHOD FOR IDENTIFYING OPTIMAL BINDING LIGANDS TO A RECEPTOR

Inventor(s)(full name of each inventor): William D. Huse and Michael H. Freedman

No abandonment of, or termination of proceedings, has occurred in the above-identified prior application.

1.	<u>X</u>	An application	based on	the prior	application	as filed
		<pre>and containing of:</pre>	no new ma	atter is er	nclosed, cons	sisting

1 page application cover sheet

- pages of specification (includes claims and abstract)
- _5 _ sheets of drawing(s).
- 2. X 3 pages of a copy of the oath or declaration as originally filed on <u>December 28, 1998</u>, in prior application (37 CFR 1.63(d)), U.S. serial no. 09/169,048, filed <u>October 8, 1998</u>, is enclosed.
- 3. ____ A signed statement DELETING inventor(s) named in the prior application (37 CFR 1.63(d)(2) and 1.33(b)) is attached.
- 4. X Nucleotide and/or Amino Acid Sequence Submission is enclosed:

____ computer readable copy of sequence listing

X paper copy of sequence listing, pages 1 through 9

X Statement Under 37 C.F.R. § 1.821(f) and (g)
X Request to Use Computer Readable Form of Seque

X Request to Use Computer Readable Form of Sequence Listing From Another Application Other:

Inventors: Huse and Freedman

Docket No.: P-IX 4692

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5. X Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under item no. 2 of this form, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.

- 6. ____ Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior application.
- 7. ___ A preliminary amendment is enclosed.
- 8. Small entity status:
 - a. ____ A small entity statement is enclosed.
 - b. X A small entity statement was filed in prior application serial no. 09/169,048, and such status is still proper and desired.
 - c. ___ is no longer claimed.
- 9. X Amend the specification by:
 - inserting before the first paragraph on page 1: or deleting the paragraph on page 1 regarding related applications and inserting therefor:

This application is a divisional of application serial no. 09/169,048, filed October 8, 1998, which claims benefit of provisional application 60/112,011, filed October 9, 1997, now abandoned, which was converted from U.S. Serial no. 08/948,187 filed October 9, 1997, the entire contents of which is incorporated herewith by reference.

- 10. X Cancel in this application original claims 10 through 38 of the prior application before calculating the filing fee. (At least one original independent claims must be retained for filing purposes.)
- 11. The prior application is assigned of record to <u>IXSYS</u>, <u>INCORPORATED</u>.
- 12. The power of attorney in the prior application is to <u>CATHRYN</u> <u>CAMPBELL</u>, <u>CAMPBELL</u> & <u>FLORES LLP</u>.
- 13. ____ A copy of a change in power of attorney or authorization of agent as filed in the prior application (37 CFR 1.63(d)(4)) is enclosed.
- 14. X A return receipt postcard is enclosed.
- 15. X A Patent Application Bibliographic Data Sheet is enclosed.
- 16. ____ Request for Nonpublication and Certification is enclosed.
- 17. Also enclosed:

Inventors: Huse and Freedman

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The filing fee is calculated below:

			NUMBER		RATE	FEE
	FILED		EXTRA			
TOTAL CLAIMS	9-20	II	0	×	\$9 \$18	
INDEPENDENT CLAIMS	1-3	=	0	х	\$40 \$80	\$
MULTIPLE DEPEN	IDENT CLAIMS		\$135 \$270			
BASIC FEE	\$355					
TOTAL FEE	\$355					

- 18. X A check in the amount of \$355.00 is enclosed. A duplicate copy of this sheet is enclosed.
- 19. ____ The filing fee is not enclosed. Do not charge our deposit account.
- 20. X The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Address all future communications to:

Cathryn Campbell CAMPBELL & FLORES LLP

4370 La Jolla Village Drive, 7th Floor

San Diego, California 92122 telephone: (858) 535-9001 facsimile: (858) 535-8949 USPTO CUSTOMER NO. 23601

Respectfully submitted,

Date: April 20, 2001	Deborah L. Cadena Registration No. 44,048 Attorney for Applicant CAMPBELL & FLORES LLP 4370 La Jolla Village Drive 7th Floor San Diego, California 92122
inventor(s)	X attorney or agent of record
assignee of complete	filed under Sec. 1.34(a)

Document: PATENT APPLICATION

BIBLIOGRAPHIC DATA SHEET

Attorney Docket No: P-IX 4692

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PATENT

Our Docket: P-IX 4692

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
 Huse and Freedman

Serial No.: Not yet known

Filed: Herewith

For: METHOD FOR IDENTIFYING
 OPTIMAL BINDING LIGANDS
 TO A RECEPTOR

Commissioner for Patents Box Patent Application Washington, D.C. 20231

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Brian Ho

(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Sir:

STATEMENT UNDER 37 C.F.R. § 1.821(f) and (g)

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR § 1.821(c) and (e), respectively, are the same. The paper copy submitted herewith is identical in content to the computer readable copy previously submitted in the prior application, U.S. Serial No. 09/169,048, filed October 8, 1998.

Inventors: Huse and Freedman
Serial No.: Not yet known

Filed: Herewith

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I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.821(g) herein does not include new matter.

Respectfully submitted,

April 20, 2001

Date

Deborah L. Cadena

Registration No. 44,048 Telephone: (858) 535-9001 Facsimile: (858) 535-8949

CAMPBELL & FLORES LLP 4370 La Jolla Village Drive 7th Floor San Diego, California 92122 **USPTO CUSTOMER NO. 23601**

PATENT

Our Docket: P-IX 4692



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Huse and Freedman

Serial No.: Not yet known

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For: METHOD FOR IDENTIFYING

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(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Sir:

REQUEST TO USE COMPUTER READABLE FORM OF SEQUENCE LISTING FROM ANOTHER APPLICATION

The computer readable form in the above-referenced application is identical with that filed in Application Number 09/169,048, filed October 8, 1998. In accordance with 37 CFR 1.821(e), please use the only, computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is included in the originally-filed specification of the instant application.

Inventors: Huse and Freedman
Serial No.: Not yet known

Filed: Herewith

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The Commissioner is hereby authorized to charge payment of any fees associated with the filing submitted herewith or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: April 20, 2001

Deborah L. Cadena

Registration No. 44,048

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